

Form PTO-1595 (Rev. 10/02) OMB No. 0651-0027 (exp. 6/30/2006) Tab settings		<b>RECORDATION FORM COVER SHEET PATENTS ONLY</b>		U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office	
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.					
1. Name of conveying party(ies): <b>RANDOLPH H. SALTER</b>			2. Name and address of receiving party(ies) Name: <u>PlantHaven, Inc</u> Internal Address: <u>Geoffrey Needham</u>		
Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____			Street Address: <u>121 W Pueblo Street, Suite 14</u> <u>PO Box 3056</u> City: <u>Santa Barbara</u> State: <u>CA</u> Zip: <u>93130-3056</u>		
Execution Date: <u>SEPT. 16, 2003</u>			Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: _____ A. Patent Application No.(s) _____ B. Patent No.(s) _____					
Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>PlantHaven, Inc</u> Internal Address: <u>Geoffrey Needham</u>  Street Address: <u>121 W Pueblo Street, Suite 14</u> <u>PO Box 3056</u> City: <u>Santa Barbara</u> State: <u>CA</u> Zip: <u>93130-3056</u>			6. Total number of applications and patents involved: <u>1</u>		
			7. Total fee (37 CFR 3.41).....\$ <u>40.00</u> <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account		
			8. Deposit account number: <u>502161</u>		
<b>DO NOT USE THIS SPACE</b>					
9. Signature.  <u>GEOFFREY NEEDHAM</u> Name of Person Signing			<u>Geoffrey Needham</u> Signature  <u>Sept 18, 2003</u> Date		
Total number of pages including cover sheet, attachments, and documents: <u>3</u>					

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

# **ASSIGNMENT OF PATENT APPLICATION**

Whereas, I / We, RANDOLPH H. SALTER  
PRINT NAME (first-named inventor)

of 8309 PINECREST RD. RALEIGH, NC USA  
(Street, City, State/Province/County/Country)

and \_\_\_\_\_  
PRINT NAME (second-named inventor, if applicable)

of \_\_\_\_\_  
(Street, City, State/Province/County/Country)

believe that I am / we are the original and first inventors of a new and distinct cultivar of

MUSA Plant named LITTLE PRINCE  
(Application Name)

I / we, for good and valuable consideration, hereby convey and assign to PlantHaven, Inc., PO Box 3058, Santa Barbara, California, CA 93130-3058, USA the entire right in any application for United States Plant patent regarding the above-named cultivar, including but not limited to, the right to take action in any proceeding before the United States Patent and Trademark Office. This is an assignment with respect to the patent application pending before/or to be filed with the United States Patent and Trademark Office.

Executed by me/us by signature hereunder:

Randolph H. Salter  
(first-named inventor)

9/16/03  
(date)

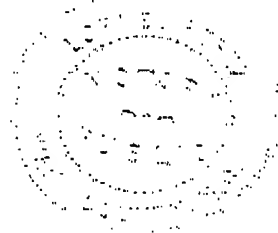
\_\_\_\_\_  
(second-named inventor, if applicable)

\_\_\_\_\_  
(date)

## **Certificate of Acknowledgment**

State of N.C. )  
County of Wake ) SS.

On this 16 day of September, 2003, before me, Lori B. Perez,  
personally appeared Randolph Salter and \_\_\_\_\_



Place Notary Seal Above

☒ Notary Public

☐ Commissioner for Oaths

☐ Other (specify) \_\_\_\_\_

- ☐ known personally to me  
☒ proved to me by satisfactory evidence

to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Lori B. Perez  
Signature

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Approved for use through 07/31/2006, OMB 0651-0031  
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### STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: RANDOLPH H. SALTER

Application No./Patent No.: \_\_\_\_\_ Filed/Issue Date: \_\_\_\_\_

Entitled: MUSA PLANT NAMED LITTLE PRINCE  
PLANTHAVEN, INC a CORPORATION

(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or

2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_  
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.  
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Sept 18, 2003

Date

805 569 6498

Telephone number

GEOFFREY NEEDHAM

Typed or printed name

G Needham

Signature

President, PlantHaven, Inc

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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